

2-11-02

PTO/SB/05 (11-00)

Please type a plus sign (+) inside this ☐

App. for use through 10/31/2002. OMB 0651-0032

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02/07/02

1017 U.S. Pro
10/07/2691
02/07/02**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 64,149-162

First Inventor

Lucio Giambattista, et al.

Title

PEN NEEDLE AND SAFETY SHIELD SYSTEM

Express Mail Label No.

EV016365635US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages (26)]
(preferred arrangement set forth below)
Descriptive title of the invention
Cross reference to related applications
Statement regarding Fed sponsored R & D
Reference to sequence listing, a table, or a
Computer program listing appendix
Background of the Invention
Brief Summary of the invention
Brief Description of the Drawings
Detailed description
Claim(s)
Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets (7)]
5. ☒ Oath or Declaration [Total Pages (4)]
 - a. ☒ Executed (Unexecuted) (original copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
 - i. ☐ **DELETION OF INVENTOR(S)**
(for continuation/divisional with Box 18 completed)
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement ☐ Copies of IDS
(IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 USC 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: Check for the payment of the filing fee

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data sheet under 37 CFR. 76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: ____/____
 Prior application information: Examiner ____ Group Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

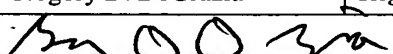
19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

27305

Name	Gregory D. DeGrazia				
Address	Howard & Howard & Howard Attorneys, P.C.				
	39400 Woodward Avenue, Suite 101				
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Country	United States	Telephone	(248) 723-0325	Fax	(248) 645-1568

Name (Print/Type)	Gregory D. DeGrazia	Registration No. (Attorney/Agent)	48,944
Signature		Date	February 7, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 992.00

Complete if Known

Application Number	Herewith
Filing Date	February 7, 2002
First Named Inventor	Lucio Giambattista, et al
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Attorney Docket No.	64,149-162

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 08-2789
Deposit Account Name Howard & Howard

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Total Claims 23 - 20** = 3 X Fee from below 84 = 252
Independent Claims 0 - 3** = 0 X 0 = 0
Multiple Dependent 0 = 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 252.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES				Fee Description		Fee Paid
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)			
105 130	205 65			Surcharge - late filing fee or oath		
127 50	227 25			Surcharge - late provisional filing fee or cover sheet		
139 130	139 130			Non-English specification		
147 2,520	147 2,520			For filing a request for ex parte reexamination		
112 920*	112 920*			Requesting publication of SIR prior to Examiner action		
113 1,840*	113 1,840*			Requesting publication of SIR after Examiner action		
115 110	215 55			Extension for reply within first month		
116 400	216 200			Extension for reply within second month		
117 920	217 460			Extension for reply within third month		
118 1,440	218 720			Extension for reply within fourth month		
128 1,960	228 980			Extension for reply within fifth month		
119 320	219 160			Notice of Appeal		
120 320	220 160			Filing a brief in support of an appeal		
121 280	221 140			Request for oral hearing		
138 1,510	138 1,510			Petition to institute a public use proceeding		
140 110	240 55			Petition to revive - unavoidable		
141 1,280	241 640			Petition to revive - unintentional		
142 1,280	242 640			Utility issue fee (or reissue)		
143 460	243 230			Design issue fee		
144 620	244 310			Plant issue fee		
122 130	122 130			Petitions to the Commissioner		
123 50	123 50			Processing fee under 37 CFR 1.17(q)		
126 180	126 180			Submission of Information Disclosure Stmt		
581 40	581 40			Recording each patent assignment per property (times number of properties)		
146 740	246 370			Filing a submission after final rejection (37 CFR § 1.129(a))		
149 740	249 370			For each additional invention to be examined (37 CFR § 1.129(b))		
179 740	279 370			Request for Continued Examination (RCE)		
169 900	169 900			Request for expedited examination of a design application		

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) -0-

SUBMITTED BY

Name (Print Type)	Gregory D. DeGrazia	Registration No. (Attorney/Agent)	48,944	Telephone	248.723.0325
Signature		Date	2-7-02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that the enclosed **PATENT APPLICATION** and fee is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as "Express Mail Post Office to Addressee", Mailing Label No. **EV016365635US** and addressed to the Assistant Commissioner of Patents, Washington, D. C. 20231, on **February 7, 2002**.

Tracy L. Smith

Tracy L. Smith

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